

## Properties of Thin Metallic Films for Microwave Susceptors

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### Abstract

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Thin Al films of varying thickness, i.e. 3 to 30 nm, were deposited onto polyethylene-terephthalate film by evaporation in the vacuum of  $3 \times 10^{-3}$  Pa. The dependence of DC (direct current) surface resistance on thickness was measured using a four-point method. The surface resistance exhibits the size effect in accordance with the Fuchs-Sondheimer theory. The microwave absorption properties of the prepared films of various metallization thickness were measured in a microwave field at the microwave power of 1.8 mW. The maximum microwave absorption at 2.45 GHz was found to occur in a layer of optical density of about 0.22.

**Keywords:** microwave susceptors; food packaging; optical density; DC surface resistance; microwave absorption

Susceptors have been used with microwaveable foods in the most widespread types of active packaging systems since the late 1970s. Although different types of susceptors have been proposed, only those consisting of a polyethylene terephthalate (PET) film lightly metallized with an elemental aluminium laminated onto a dimensionally stable substrate (paper or paperboard) are currently used commercially (Figure 1). In spite of more than 20 years experience in the susceptor application in the food industry, relatively little information on the susceptor's performance and their changes during microwave heating has been published in the literature. The influence of the thickness of susceptor metallization on the heating capability was experimentally followed by ZUCKERMAN and MILTZ (1992). Samples of susceptors of three different levels of metallization were tested in this work. The susceptors with optical density (parameter used for the characterization of the metallic layer thickness) of 0.3 were shown in this work to

be optimal. The electric and morphologic changes of susceptors during the microwave heating were also followed experimentally by ZUCKERMAN and MILTZ (1994). The formation of holes and cracks in the susceptor surface and the change in the crystallinity of PET film were presented by the authors as the main cause of the decrease of the susceptor heating ability during heating.

The aim of the present study was (i) to test the optical as well as the electrical properties (especially optical density, DC square resistance and impedance) of laboratory prepared susceptors and to determine the relationships between them, and (ii) to determine the optimum thickness of metallization from the point of view of the maximum microwave energy absorption in the film. Samples of susceptors of different metallization have been prepared for this study.

As mentioned above, the commercially utilized susceptors consist of a metallized active layer (acting as heat source) deposited on PET film. The

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growth process of the layer consists of a number of steps: the pre-coalescent stage (which includes atomic surface absorption and critical nuclei creation to a layer thickness of about 5 nm); the coalescent stage (including critical nuclei coalescence, up to about 15 nm); the net creation (chaining of nuclei and their association, up to about 25 nm); the growth of the perforated layer (the layer contains a large number of hollows, up to about 35 nm); and the growth of a homogeneous layer (over 35 nm thick). The process presented can vary slightly in the dependence upon the type of atoms evaporated, the evaporation rate, the residual pressure and the composition in the vacuum chamber, the PET carrier temperature, and other growth conditions.

The resistance of thin films strongly differs from that of bulk materials as the thickness of a metallic layer is comparable to the mean free-path of electrons. If we assume the free electron density in Al of  $18 \times 10^{28} \text{ m}^{-3}$ , the bulk resistance of  $2.74 \times 10^{-8} \Omega\text{m}$ , and Fermi energy of 11.63 eV (KITTEL 1985), then the estimated mean free path reaches a value of 15–20 nm. This value is comparable to the thickness of the prepared metallic layers which gives rise to the resistance size (thickness) effect. According to the Fuchs-Sondheimer theory (SONDHEIMER 1952), the layer resistivity increases in relation to the diffuse scattering of metal surfaces according to the formula

$$Q_t = Q_{\text{bulk.}} \frac{(3\bar{l})}{8t} \quad (1)$$

where:  $Q_t$  – layer resistivity  
 $Q_{\text{bulk.}}$  – bulk resistivity  
 $\bar{l}$  – mean free path of electrons in a metal structure  
 $t$  – layer thickness

The model mentioned was later improved upon by including grain boundary scattering. Another indispensable contribution is represented by the presence of Al oxides on the grain boundary, created during the layer growth or due to the direct exposure to air.

The development of heat energy in a susceptor placed in a microwave field is caused by the conductivity and polarisation of the susceptor material. A thin Al film with a relatively low resistance acts as the main source of heat energy (Joule heat). The polarization losses in a PET carrier (caused by the rotation of dipoles) can be neglected in comparison with the conductivity losses of Al film.

Another effect occurring in a microwave field is the skin effect. Only a very thin layer below the metal surface participates in conducting RF (radio-frequency) alternating current. Its thickness can be expressed as

$$\Delta = \sqrt{\frac{2}{\omega\mu\sigma}} \quad (2)$$

where:  $\omega$  – angular frequency ( $\omega = 2\pi f$ , where  $f$  is frequency of the microwave field)

$\mu$  – permeability

$\sigma$  – conductivity of the layer

In the case of an Al layer, if we assume  $\sigma = 3.65 \times 10^7 \text{ S/m}$ ,  $\mu = \mu_0 = 4\pi \times 10^{-7} \text{ H/m}$  and  $f = 2.45 \text{ GHz}$ , the skin depth reaches a value of about 1.67  $\mu\text{m}$ . Because the skin depth is larger than the thickness of the metallic layer, its influence can be neglected.

The most important parameter in determining the level of the microwave power absorbed in a susceptor is its complex permittivity. This can be expressed as

$$\epsilon^* = \epsilon' - j\epsilon'' \quad (3)$$

where:  $\epsilon'$  – real part of the complex permittivity (relative permittivity)

$\epsilon''$  – imaginary part of the complex permittivity it represents dielectric losses)

If we consider the conductivity losses only, then the complex permittivity can be rewritten (PASYNKOV & SOROKIN 1986) in the form of

$$\epsilon^* = \epsilon' - j \frac{\sigma}{\omega\epsilon_0} \quad (4)$$

where:  $\sigma$  – conductivity of the layer at an angular frequency of  $\omega$

$\epsilon_0$  – permittivity of the vacuum

In the case of a conducting layer with the thickness of  $t$  and conductivity of  $\sigma$ , the square surface impedance  $Z$  of the layer in a microwave field can be expressed in a simple way (ZUCKERMAN & MILTZ 1994), i.e.

$$Z = Z_0 (\epsilon^*)^{\frac{1}{2}} \quad (5)$$

where:  $Z_0$  – free space impedance (377  $\Omega$ )

Owing to the difficulty of determining the layer thickness and its conductivity, the surface impedance  $Z$  most essentially describes the nature of the film interaction with the microwave field (GAVRILINE 1998). The theoretically derived value of the square resistance for the most efficient microwave absorption – 188.5  $\Omega$  – is presented in literature (BUFFLER 1993).

EXPERIMENTAL METHODS

Susceptor preparation

Susceptors with different Al deposition levels were prepared by evaporation of Al in a vacuum evaporator Polaron E 6000 (Polaron Equipment, Ltd. UK). The working chamber pressure during the vacuum deposition was about  $3 \times 10^{-3}$  Pa. The deposition rate was controlled manually at about 0.5 nm/s. Granulated, high-purity (4N) Al was used as the evaporating material for the active layer deposition. Al films were prepared on the PET carrier of the size of 110 mm × 240 mm and the thickness of 50 μm. During the deposition process, the PET substrate temperature was maintained at room temperature. The distance between the PET film and the resistance heater (tungsten boat) was 220 mm. Unfortunately, on that account, the layers grown exhibited a small non-uniformity in their thickness on the peripheral sample area. A set of 120 susceptor samples of different metallization levels was prepared for the experimental study.

Optical and electrical parameters of susceptors

**Optical density measurement.** Optical density (absorbance) of the susceptor samples was determined at 626 nm using the optical density meter which was designed in the laboratory of the Department of Mechanics and Materials Science of the Czech Technical University in Prague (SEDLÁČEK 2001). This device uses the optical beam area of about 6 square mm and enables to measure a large sample area up to 110 mm wide. It was calibrated by a simultaneous measurement of selected susceptor samples using a UV/VIS Spectrometer Perkin Elmer Lambda 11 (Perkin Elmer Co., Germany) at 626 nm. In this method, optical density was

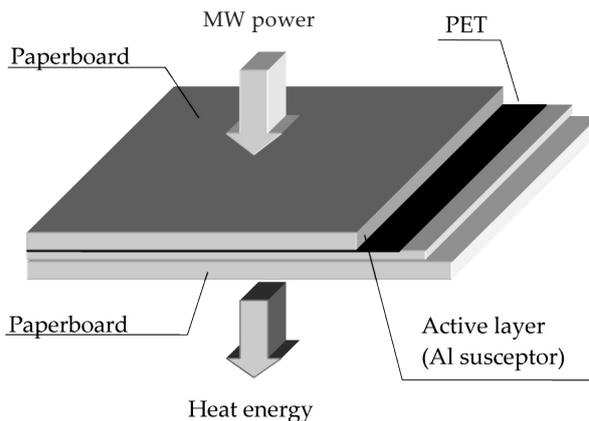


Figure 1. Microwave susceptor structure

measured in five points of each susceptor sample and the mean value was calculated. This value was used for the characterization of the respective susceptor sample.

**DC square resistance measurement.** Square (surface) resistance ( $\Omega$ ) is commonly used for the characterization of the transport properties of thin films and it represents the resistance of a square layer of an arbitrary dimension. Square resistance can be calculated as a quotient of the film resistance and its thickness. This parameter was measured using a DC four-point method (at a constant current 0.3 mA, Al stripe contacts of a thickness of about 500 nm were evaporated *in situ* together with an active layer). The measurement circuit employed a constant current source and Electrometer Keithley Model 617 as presented in Figure 2.

Chemical determination of aluminium content in the surface layer of susceptors

Al layers of the susceptors tested were dissolved in diluted  $\text{HNO}_3$  and the contents of Al in these

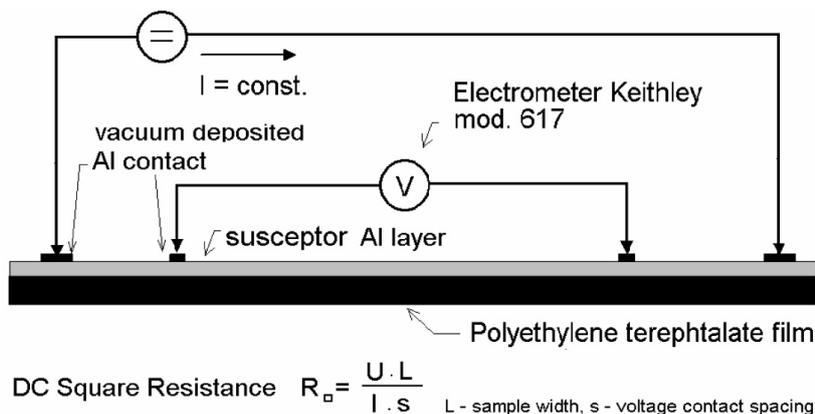


Figure 2. DC four-point method for square resistance measurement

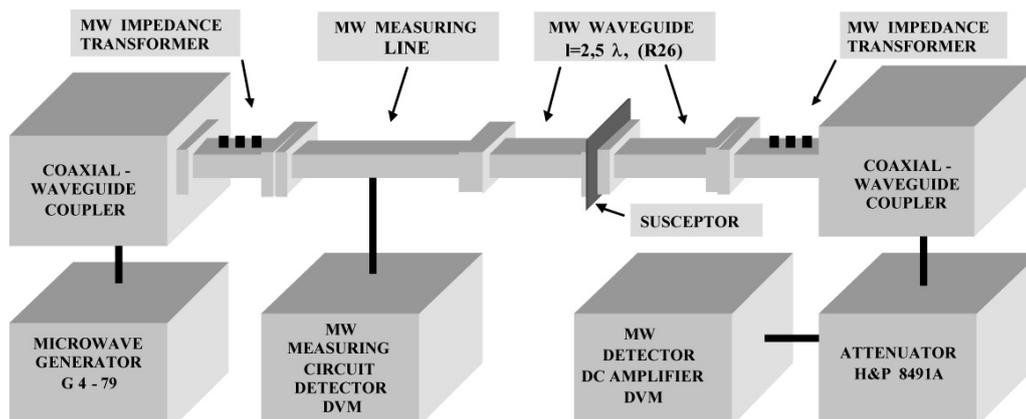


Figure 3. Microwave measurement circuit

solutions were determined using Atomic Absorption Spectroscopy in the Central Laboratory of the Institute of Chemical Technology in Prague.

### Microwave measurement

A set of susceptor samples of various optical densities was placed into a microwave measurement waveguide which was set up especially for the purpose of this study, and whose arrangement is demonstrated in Figure 3. The measurement was carried out at the frequency of 2.45 GHz and the applied microwave power of 1.8 mW. The values of the reflected, transmitted and absorbed power in the susceptor samples as well as the values of the surface impedance were determined.

All results presented in this work are the mean values of two parallel determinations unless different conditions are given.

## RESULTS AND CONCLUSIONS

### Optical and electrical parameters of susceptors and their relationship

The proper susceptor function in the microwave field is dependent on the thickness of the metal layer. The Al layers suitable for susceptors (up to about 10 nm thick) contain a large number of holes, thickness non-homogeneities, steps and micro-cracks. This makes the direct and exact determination of the layer thickness very difficult (SHUSTERMAN *et al.* 2001). Therefore, optical density (absorbance) and/or DC square resistance are frequently used for the thickness description of thin metallic layers of susceptors. For the preparation

of susceptors the relation between both parameters may be important but little information on that problem has been published.

The relationship between DC square resistance and the mean value of optical density of the susceptors tested is presented in Figure 4. As obvious, the square resistance of the samples tested varies from 25 to 500  $\Omega$  in the range of the optical density of the samples from 0.15 to 0.6. The relatively higher variance of the results is caused by the uncertainty in the determination of the layer optical density resulting from the non-uniformity of the thickness of the laboratory prepared susceptors as well as by the measurements of the square resistance (the layers contain a large number of electrically active defects).

The correlation between DC square resistance (RS) and optical density (O.D.) can be expressed by the following regression equation:

$$RS = 745.8 e^{-6.43(O.D.)} \quad (6)$$

the regression quotient  $r^2 = 0.727$

It is obvious from the regression curve that DC square resistance in the range from 100  $\Omega$  to 230  $\Omega$  corresponds to the range of the optical density of commercially produced susceptors from 0.18 to 0.29 (SMITH *et al.* 1990). This is in a good agreement with the literature data – the value of 188.5  $\Omega$  is presented in literature as the square resistance of the susceptors of the most efficient microwave absorption (BUFFLER 1993).

As the direct measurement of thin metallic layer thickness is nearly impossible in practice (SHUSTERMAN *et al.* 2001) we tried to estimate it via chemical determination of Al deposited on

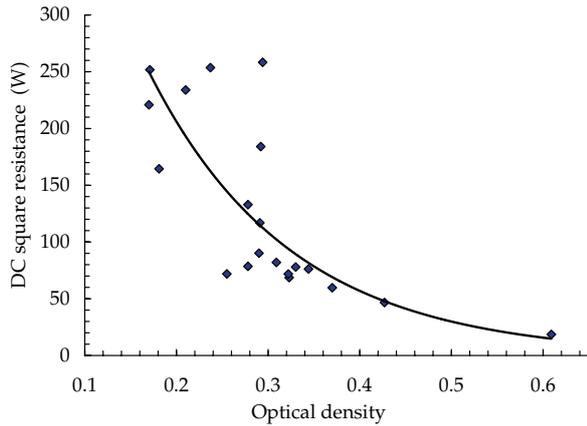


Figure 4. DC square resistance vs. optical density

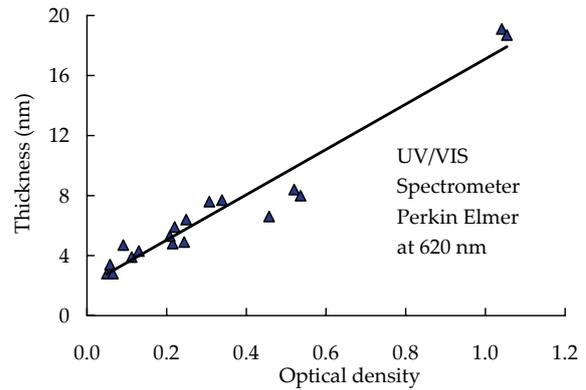


Figure 5. Thickness vs. optical density of Al layer

the surface of susceptor samples. On this base the theoretical thickness of homogeneous layer of Al can be calculated using specific weight of aluminium  $\rho = 2.71 \text{ g/cm}^3$ . The relationship between the calculated theoretical Al layer thickness (AIT) and the mean value of the optical density (O.D.) of susceptor samples is presented in Figure 5. The correlation can be expressed by the following regression equation:

$$\text{AIT} = 15.09 (\text{O.D.}) + 2.01 \quad (7)$$

the regression quotient  $r^2 = 0.9471$

The mean value of the optical density of the prepared Al susceptors in the range from 0.05 to 0.5 corresponds to the theoretical Al layer thickness from 3 to 10 nm which is in good agreement with the presumption that the thickness of Al layer of susceptors is smaller than 10 nm (ROBERTSON 1993).

For comparison, three samples of laboratory prepared susceptors were analysed in the Department of Nuclear Physics of the Czech Academy of Sciences by Rutherford Back Scattering Technique and similar results were obtained: the metal deposit thickness on the susceptors of 3.5 nm, 4.8 nm and

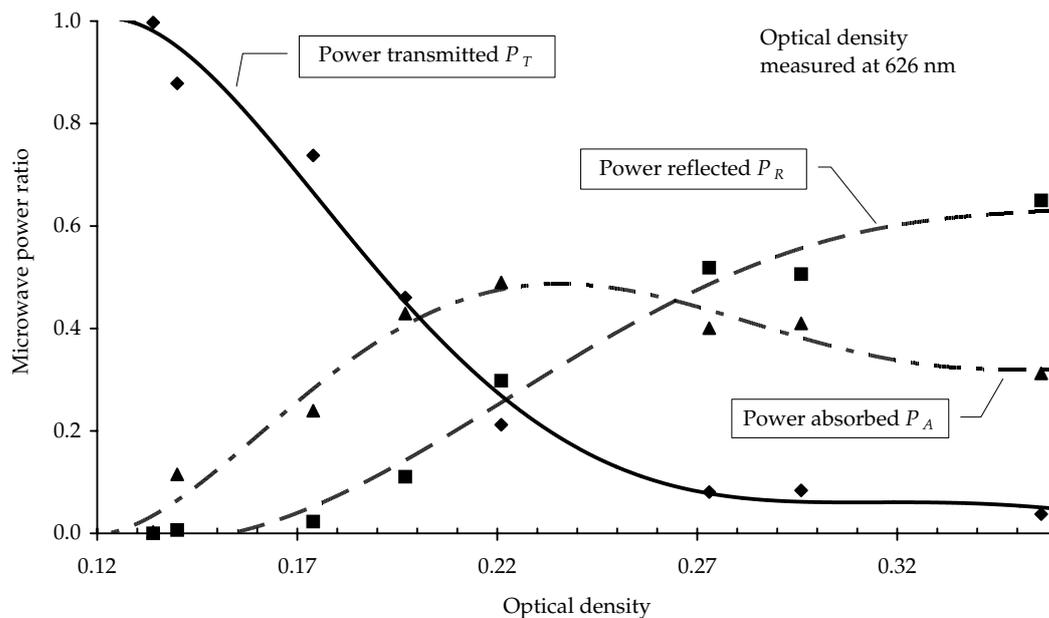


Figure 6. Reflected ( $P_R$ ), transmitted ( $P_T$ ) and absorbed ( $P_A$ ) microwave power ratio vs. optical density of susceptors

5.5 nm corresponded to the optical density values of 0.19, 0.21 and 0.28, respectively.

### Microwave measurement

As a result of these measurements, the dependencies between the reflected, transmitted and, finally, absorbed power in the susceptors and their optical densities were obtained. The maximal value of the absorbed microwave power  $P_A = 48\%$  corresponds to the susceptors having the optical density of about 0.22 (Figure 6). The curves in the graph were constructed using polynomial approximation. Under all conditions, the following equation must be valid

$$P_T + P_R + P_A = 1 \quad (8)$$

As mentioned above, the surface impedance  $Z$  most essentially describes the nature of the metalized film interaction with the microwave field. The microwave measurements also enabled to estimate the dependence of the susceptor surface impedance on its optical density. This dependence is presented in Figure 7. The correlation can be expressed by the following regression equation:

$$Z_n = 8894.7 (\text{O.D.})^4 + 11\,394 (\text{O.D.})^3 + 5430.5 (\text{O.D.})^2 + 1142.9 (\text{O.D.}) + 89.9 \quad (9)$$

the regression quotient  $r^2 = 0.9876$

By comparison of the results of DC square resistance determination with the data on the microwave square impedance  $Z_s$  it is obvious that the main part of the total surface impedance is created by its real part, i.e. DC square resistance. The surface impedance ( $Z_s$ ) of the susceptors commonly used in food packaging and having optical density of about 0.18–0.29 (BUFFLER 1993) should range from 70  $\Omega$  to 1150  $\Omega$ .

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### Souhrn

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Tenké vrstvy hliníku v tloušťkách od 3 do 30 nm byly naneseny na polyethylentereftalátovou fólii napařováním ve vakuu ( $3 \times 10^{-3}$  Pa). Povrchový odpor takto připravených fólií byl proměřen čtyřbodovou metodou a byla stanovena

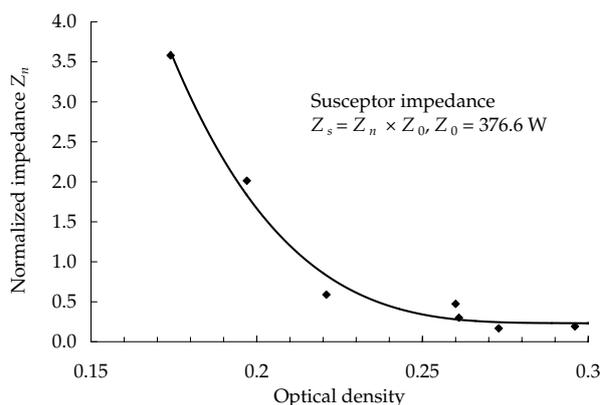


Figure 7. Normalized surface impedance of susceptor vs. its optical density

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jeho závislost na tloušťce hliníkové vrstvy. Přitom byl patrný tzv. „size effect“ v souladu s Fuchs-Sondheimerovou teorií. Schopnost absorbovat mikrovlnnou energii u připravených fólií s rozdílnou úrovní pokovení byla stanovena v mikrovlnném poli při mikrovlnném výkonu 1,8 mW. Bylo zjištěno, že maximální absorpci mikrovlnné energie při frekvenci 2,45 GHz vykazují fólie s optickou hustotou 0,22.

**Klíčová slova:** mikrovlnný ohřev; susceptory; balení potravin; optická hustota; DC povrchový odpor; absorpce mikrovln

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